

COMPACT HYPERSURFACES IN EUCLIDEAN SPACE AND SOME INEQUALITIES*

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Abstract – Let (M, g) be a compact immersed hypersurface of $(R^{n+1}, \langle, \rangle)$, λ_1 the first nonzero eigenvalue, α the mean curvature, ρ the support function, A the shape operator, $vol(M)$ the volume of M , and S the scalar curvature of M . In this paper, we established some eigenvalue inequalities and proved the above.

$$1) \frac{1}{n} \int_M \|A\|^2 \rho^2 dv \geq \int_M \alpha^2 \rho^2 dv,$$

$$2) \int_M \alpha^2 \rho^2 dv \geq \frac{1}{n(n-1)} \int_M S \rho^2 dv,$$

3) If the scalar curvature S and the first nonzero eigenvalue λ_1 satisfy $S = \lambda_1(n-1)$, then

$$\int_M \left(\alpha^2 - \frac{\lambda_1}{n} \right) \rho^2 dv \geq 0,$$

4) Suppose that the Ricci curvature of M is bounded below by a positive constant k . Thus

$$\int_M \alpha^2 \rho^2 dv \geq \frac{k}{n(n-1)} \int_M \|\text{grad} f\|^2 dv + vol(M),$$

5) Suppose that the Ricci curvature is bounded and the scalar curvature satisfy $S = \lambda_1(n-1)$ and $L=k-2S>0$ is a constant. Thus

$$vol(M) \geq -\frac{k\lambda_1}{L} \int_M \|\psi\|^2 \alpha \rho dv - \frac{2S}{L} \int_M \alpha^2 \rho^2 dv.$$

Keywords – First Eigenvalue, Support Function

1. PRELIMINARIES

We will use the same notations and terminologies as in [1] unless otherwise stated. Let M be a compact immersed hypersurface of R^{n+1} . We denote by $\Psi : M \rightarrow R^{n+1}$ the smooth immersion by \langle, \rangle and g , the Euclidean metric on R^{n+1} and the induced metric on M respectively. Let N be the unit normal vector field and A the shape operator on M . We then have the Gauss and Weingarten formulas

$$\bar{\nabla}_X Y = \nabla_X Y + h(X, Y)N, \quad \bar{\nabla}_X N = -AX, \quad X, Y \in \chi(M) \quad (1)$$

where $\bar{\nabla}$ and ∇ are the Riemannian connections on R^{n+1} and M respectively, $\chi(M)$ is the Lie-algebra of smooth vector fields on M and h is the second fundamental form which is related to A by $g(AX, Y) = h(X, Y)$. The shape operator A satisfies the Codazzi equation

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$$(\nabla A)(X, Y) = (\nabla A)(Y, X), X, Y \in \chi(M), \quad (2)$$

Since the shape operator A is symmetric and satisfies (2) it can be easily verified that the mean curvature $\alpha = \frac{1}{n} \text{tr} A$ satisfies

$$\text{grad} \alpha = \frac{1}{n} \sum_{i=1}^n (\nabla A)(e_i, e_i), X \langle \alpha \rangle = \frac{1}{n} \sum_{i=1}^n g(\nabla A(e_i, e_i), X), X \in \chi(M) \quad (3)$$

where $\{e_1, \dots, e_n\}$ is a local orthonormal frame on M .

If we define $f : M \rightarrow R$ by $f = \frac{1}{2} \|\Psi\|^2$ and treat Ψ as a position vector field of M in R^{n+1} , we get

$$\Psi = \text{grad} f + \rho N \quad (4)$$

where $\rho : M \rightarrow R$, defined by $\rho = \langle \Psi, N \rangle$, is a support function of M . Then, using the equations in (1), we obtain

$$\nabla_X \text{grad} f = X + \rho AX$$

and

$$X(\rho) = -\rho(AX, \text{grad} f), X \in \chi(M) \quad (5)$$

From the first equation in (5) we get

$$\Delta f = n(1 + \alpha\rho) \quad (6)$$

which, on integration, yields the following formula Minkowski

$$\int_M (1 + \alpha\rho) dv = 0. \quad (7)$$

2. MAIN THEOREM

Theorem 3. 1. Let M be compact and the connected immersed hypersurface of R^{n+1} . The shape operator on M and the mean curvature α of M satisfies the following inequality:

$$\frac{1}{n} \int_M \|A\|^2 \rho^2 \geq \int_M \alpha^2 \rho^2 dv \quad (8)$$

Proof: From the Gauss equation, we have the following expression for the Ricci curvature tensor of M [2].

$$\text{Ric}(X, Y) = n\alpha g(AX, Y) - g(AX, AY), X, Y \in \chi(M) \quad (9)$$

Thus, we have

$$\int_M \text{Ric}(\text{grad} f, \text{grad} f) dv = n \int_M \alpha g(A(\text{grad} f), \text{grad} f) dv - \int_M \|A(\text{grad} f)\|^2 dv \quad (10)$$

The second equation (5) gives $\text{grad}(\rho) = -A(\text{grad} f)$ and we obtain

$$\begin{aligned} g(A(\text{grad} f), \text{grad} f) &= -g(\text{grad} \rho, \text{grad} f) = -\text{grad} f(\rho) \\ &= -\text{div}(\rho \text{grad} f) + \rho \Delta f \\ &= -\text{div}(\rho \text{grad} f) + n\rho(1 + \alpha\rho). \end{aligned}$$

Thus we have

$$\alpha g(A(\text{grad} f), \text{grad} f) = -\alpha \text{div}(\rho \text{grad} f) + n\alpha\rho(1 + \alpha\rho) \quad (11)$$

For a local orthonormal frame $\{e_1, \dots, e_n\}$ on M we also have

$$\operatorname{div}(A(\operatorname{grad}f)) = \sum [g((\nabla A)(e_i, \operatorname{grad}f), e_i) + g(A(\nabla e_i \operatorname{grad}f), e_i)]$$

which, together with (3) and (5), gives

$$\operatorname{div}(A(\operatorname{grad}f)) = n(\operatorname{grad}f)\alpha + n\alpha + \rho\|A\|^2 \tag{12}$$

Using the identity $\operatorname{div}(fX) = X(f) + f\operatorname{div}X$, $X \in \chi(M)$ for any smooth function $f : M \rightarrow R$, we get

$$\begin{aligned} \rho \operatorname{div}(A(\operatorname{grad}f)) &= \operatorname{div}(\rho A(\operatorname{grad}f)) - A(\operatorname{grad}f)\rho \\ &= \operatorname{div}(\rho A(\operatorname{grad}f)) + \|A(\operatorname{grad}f)\|^2. \end{aligned}$$

Combining the above equation with (11) and (12), we arrive at

$$n\rho(\operatorname{grad}f)\alpha + n\alpha\rho + \rho^2\|A\|^2 = \operatorname{div}(\rho A(\operatorname{grad}f)) + \|A(\operatorname{grad}f)\|^2 \tag{13}$$

Since $\operatorname{div}(\alpha\rho\operatorname{grad}f) = \rho(\operatorname{grad}f)\alpha + \alpha\operatorname{div}(\rho\operatorname{grad}f)$, we can use this in (13) to get

$$-n\alpha\operatorname{div}(\rho\operatorname{grad}f) + \operatorname{div}(n\alpha\rho\operatorname{grad}f) + n\alpha\rho + \rho^2\|A\|^2 = \operatorname{div}(\rho A(\operatorname{grad}f)) + \|A(\operatorname{grad}f)\|^2 \tag{14}$$

Substituting the expression for $-n\alpha\operatorname{div}(\rho\operatorname{grad}f)$ from (14) into (11), and using Stokes theorem, we arrive at

$$\int_M n\alpha g(A(\operatorname{grad}f), \operatorname{grad}f) dv = \int_M [\|A(\operatorname{grad}f)\|^2 - n\alpha\rho - \rho^2\|A\|^2 + n^2\rho\alpha(1 + \alpha\rho)] dv \tag{15}$$

Together with (15) and (10) gives

$$\int_M \operatorname{Ric}(\operatorname{grad}f, \operatorname{grad}f) dv = \int_M [-n\alpha\rho - \rho^2\|A\|^2 + n^2\alpha\rho(1 + \alpha\rho)] dv \tag{16}$$

From the Bochner-Lichnerowicz formula [3, 4]

$$\int_M [(\Delta f)^2 - \|Hessf\|^2 - \operatorname{Ric}(\operatorname{grad}f, \operatorname{grad}f)] dv = 0 \tag{17}$$

and (16), we have

$$\int_M [(\Delta f)^2 - \|Hessf\|^2 + n\alpha\rho + \rho^2\|A\|^2 - n^2\alpha\rho(1 + \alpha\rho)] dv = 0. \tag{18}$$

Newton's inequality $(\Delta f)^2 \leq n\|Hessf\|^2$ yields and using the Minkowski formula (7), we have

$$\frac{1}{n} \int_M \|A\|^2 \rho^2 dv \geq \int_M \alpha^2 \rho^2 dv.$$

Corollary 3. 1. Let M be a compact and connected immersed hypersurface of R^{n+1} . The mean curvature α of M and the scalar curvature S of M satisfy the following inequality:

$$\int_M \alpha^2 \rho^2 dv \geq \frac{1}{n(n-1)} \int_M S \rho^2 dv \tag{19}$$

Proof: From the Gauss equation, we have the following expression for the scalar curvature of M [2].

$$S = n^2\alpha^2 - \|A\|^2 \tag{20}$$

From (20) and (8) we obtain (19).

Without loss of generality we can assume that the center of the mass of M is at the origin of R^{n+1} (for

otherwise an isometry $\Phi : R^{n+1} \rightarrow R^{n+1}$ can be chosen which maps the center of mass of M to the origin of R^{n+1} , and then $\Psi' = \Phi \circ \Psi$ will be the desired immersion). Thus the immersion $\Psi : M \rightarrow R^{n+1}$ satisfies $\int_M \Psi dv = 0$. Hence we can apply the minimum principle to get

$$\lambda_1 \leq n \cdot \text{vol}(M) / \int_M \|\Psi\|^2 dv$$

Where, λ_1 is the nonzero eigenvalue of the Laplacian operator on M . Consequently we have

$$\int_M \|\Psi\|^2 dv \leq \frac{n \cdot \text{vol}(M)}{\lambda_1}. \quad (21)$$

Corollary 3. 2. Let M be a compact and connected immersed hypersurface of R^{n+1} . If the scalar curvature S and the first nonzero eigenvalue λ_1 of the Laplacian operator Δ on M , with respect to the induced metric, satisfy $S = \lambda_1 (n - 1)$, then

$$\int_M \left[\alpha^2 - \frac{\lambda_1}{n} \right] \rho^2 dv \geq 0. \quad (22)$$

Thus M is isometric to a sphere $S^n(c)$.

Proof: By the hypothesis of the theorem and (19), hence

$$\int_M \left[\alpha^2 - \frac{\lambda_1}{n} \right] \rho^2 dv \geq 0.$$

3. THE RICCI CURVATURE IS BOUNDED

Theorem 4. 1. Let M be a compact and connected immersed hypersurface of R^{n+1} with positive Ricci curvature. Suppose that the Ricci curvature of M is bounded below by a positive constant k . Thus

$$\int_M \alpha^2 \rho^2 dv \geq \frac{k}{n(n-1)} \int_M \|\text{grad}f\|^2 dv + \text{vol}(M) \quad (23)$$

Proof: From (17), Newton's inequality, (6) and by the hypothesis of theorem

$$n(n-1) \int_M (1 + \alpha\rho)^2 dv \geq k \int_M \|\text{grad}f\|^2 dv.$$

Or

$$-n(n-1) \text{vol}(M) + n(n-1) \int_M \alpha^2 \rho^2 dv \geq k \int_M \|\text{grad}f\|^2 dv \quad (24)$$

where we have used the Minkowski formula (7). Thus, we get (23).

Theorem 4. 2. Let M be a compact and connected immersed hypersurface of R^{n+1} with positive Ricci curvature. Suppose that the Ricci curvature of M is bounded below by a positive constant k . If the scalar curvature S and the first nonzero eigenvalue λ_1 of the Laplacian operator Δ on M , with respect to the induced metric satisfy $S = \lambda_1 (n - 1)$, and $L = k - 2S > 0$ is a constant, then

$$\text{vol}(M) \geq -\frac{k\lambda_1}{L} \int_M \|\psi\|^2 \alpha \rho dv - \frac{2S}{L} \int_M \alpha^2 \rho^2 dv. \quad (25)$$

Proof: For the immersion $\psi : M \rightarrow IR^{n+1}$ we know that the function $f = \frac{1}{2} \|\Psi\|^2$ satisfies (7). We can compute $\text{div}(f \text{grad}f)$ to obtain

$$\operatorname{div}(f \operatorname{grad} f) = \|\operatorname{grad} f\|^2 + \frac{n}{2} \|\psi\|^2 (1 + \alpha \rho). \tag{26}$$

Integrating this equation, we obtain

$$\int_M \|\operatorname{grad} f\|^2 \, dv + \frac{n}{2} \int_M \|\psi\|^2 (1 + \alpha \rho) \, dv. \tag{27}$$

From (27), (24) and (21), we obtain (25).

Example: We can take ellipsoid

$$M = \{ (x, y, z) \in \mathbb{R}^3 : \frac{x^2}{4} + \frac{y^2}{4} + z^2 = 1 \}$$

which is a compact hypersurface of \mathbb{R}^3 , and locally express the immersion ψ as

$$\psi(t, \theta) = (2 \cos t \cos \theta, 2 \cos t \sin \theta, \sin t)$$

Further, we can show that, on this coordinate patch of ellipsoid the shape operator A , the mean curvature α and the support function ρ are respectively given by

$$A = \begin{pmatrix} \frac{2}{\sqrt{\cos^2 t + 4 \sin^2 t}} & 0 \\ 0 & \frac{1}{2\sqrt{\cos^2 t + 4 \sin^2 t}} \end{pmatrix}$$

$$\alpha = \frac{5}{4\sqrt{\cos^2 t + 4 \sin^2 t}} \text{ and } \rho = -\frac{2}{\sqrt{\cos^2 t + 4 \sin^2 t}}$$

and consequently we arrive at

$$\frac{1}{2} \|A\|^2 \rho^2 = \frac{17}{2} \frac{1}{(\cos^2 t + 4 \sin^2 t)^2} > \frac{25}{4} \frac{1}{(\cos^2 t + 4 \sin^2 t)^2} = \alpha^2 \rho^2$$

that is

$$\frac{1}{n} \int_M \|A\|^2 \rho^2 \, dv \geq \int_M \alpha^2 \rho^2 \, dv.$$

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